Annexure – B

COMPLAINT DATA TO BE DISPLAYED BY IAs

Formats for investors complaints data to be disclosed monthly by IAs on their website and mobile applications:

Data for the month ending - SEPTEMBER 2026

Sr No.	Received From	Pending at the end of the last month	Received	Resolved*	Total Pending #	Pending for more than 3 months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	N.A.
2	SEBI (Scores)	0	0	0	0	0	N.A.
3	Other Sources (If any)	0	0	0	0	0	N.A.
	Grand Total	0	0	0	0	0	N.A.

Number of complaints received during month against the IA due to impersonation by some other entity:

Note: In case of any complaints received against the IA due to impersonation of the IA by some other entity, the IA may adjust the number of such complaints from total number of received/resolved complaints while preparing the above table. Further, IA must close such impersonation related complaints after following the due process as specified by SEBI/ IAASB.

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.